



**KHARON GLOBAL SUMMIT**  
2023

**AGENDA**

Ensuring Compliance +  
Managing Risk  
in an Ever-Changing World

09 February 2023 | London

**KHARON**

9:15 – 9:20

**Welcome Introduction**

**Benjamin Schmidt**

Chief Product Officer, Kharon

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9:25 – 10:00

**Perspectives From the Board Room: Opportunity, Uncertainty, and Risk Management**

**Moderator:**

**Matthew Epstein**

CEO + Chairman, Kharon

**Khaldoon Al Mubarak**

Group CEO and Managing Director, Mubadala Investment Company

**Noel Quinn**

Group Chief Executive, HSBC

**Sam Zell**

Founder + Chairman, Equity Group Investments

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10:00 – 10:01

**Mandated Fire Alarm Test**

Weekly legally mandated fire alarm test for the entire building. This will last exactly 60 seconds. **Attendees do not need to leave their seats.**

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10:05 – 10:45

**Rethinking Global Trade: A Discussion on Securing the Supply Chain + Ensuring Transparency in Foreign Direct Investment**

**Moderator:**

**Daniel Fata**

- + Senior Advisor (Non-resident), Center for Strategic & International Studies (CSIS)
- + Former Deputy Assistant Secretary for European and NATO Policy, U.S. Department of Defense

**Stephen Biegun**

- + Weiser International Policymaker in Residence, Weiser Diplomacy Center, Gerald R. Ford School of Public Policy, University of Michigan
- + Former Deputy Secretary, U.S. Department of State
- + Former U.S. Special Representative for North Korea
- + Former Vice President of International Government Affairs, Ford Motor Company

**Dr. Liam Fox MP**

- + Member of Parliament, U.K.
- + Former Secretary of State for International Trade, U.K.
- + Former Secretary of State for Defence, U.K.

**Philip Lockwood**

Head, Innovation Unit, NATO

**Brian Reissaus**

Deputy Assistant Secretary for Investment Security Operations, U.S. Department of the Treasury

10:45 – 11:05

**Networking Break**

11:05 - 11:45

**Policy Outlook: Discussions on the Trajectory of Regulations + Enforcement**

**Moderator:**

**Janice Gardner**

- + Executive Editor, Kharon
- + Former Assistant Secretary for Intelligence and Analysis, U.S. Department of the Treasury

**John Carlin**

- + Partner, Paul, Weiss, Rifkind, Wharton & Garrison
- + Former Principal Associate Deputy Attorney General, U.S. Department of Justice

**Nicholas Fishwick CMG**

- + Adviser, HSBC
- + Former Senior Member, HM Diplomatic Service

**Brett Freedman**

- + Chief of Staff and Senior Counsel to Assistant Attorney General for National Security Division, U.S. Department of Justice
- + Former General Counsel, U.S. Senate Select Committee on Intelligence

**Daleep Singh**

- + Chief Global Economist + Head of Global Macroeconomic Research, PGIM Fixed Income
- + Former U.S. Deputy National Security Advisor for International Economics

11:50 – 12:30

**Human Rights, Corruption, and Forced Labor: Understanding the Impact on Global Business + Supply Chains**

**Moderator:**

**Julia Friedlander**

- + CEO, Atlantik-Brücke
- + Former Director for the EU, Southern Europe, and Economic Affairs, U.S. National Security Council

**Richard Mojica**

- + Member, Practice Lead – Customs & Import Trade, Miller & Chevalier
- + Former Counsel, Office of Regulations and Rulings, U.S. Customs and Border Protection (CBP)

**Richard Nephew**

- + Coordinator on Global Anti-Corruption, U.S. Department of State
- + Former Deputy U.S. Special Envoy for Iran
- + Former Director for Iran, U.S. National Security Council

**Katie Shaw**

Chief Programme Officer, Open Supply Hub

**Nicholas Waddell**

Deputy Director, Sanctions Directorate, U.K. Foreign, Commonwealth & Development Office (FCDO)

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12:30 – 1:30

**Networking Lunch**

1:30 – 2:05

**A Fireside Chat With U.S. Treasury, OFSI, and FCDO**

**Moderator:**

**Howard Mendelsohn**

- + Chief Client Officer, Kharon
- + Former Acting Assistant Secretary for Intelligence and Analysis, U.S. Department of the Treasury

**David Reed MBE**

Director, Sanctions Directorate, U.K. Foreign, Commonwealth & Development Office (FCDO)

**Elizabeth Rosenberg**

Assistant Secretary for Terrorist Financing and Financial Crimes, U.S. Department of the Treasury

**Giles Thomson**

Director, Office of Financial Sanctions Implementation (OFSI), HM Treasury

2:10 – 2:50

**Financial Crimes Risk Management: Reviewing Present Challenges, Establishing Best Practices, and Preparing for the Future**

**Moderator:**

**Waheed Rathore**

- + Group Chief Compliance Officer, Dubai Islamic Bank
- + Former Executive Director, Banking & Insurance Supervision — Financial Services Regulatory Authority, Abu Dhabi Global Market

**Sahand Boorboor**

Managing Director, Global Financial Crimes Compliance,  
Bank of America

**Mark Gibbins**

Head of Compliance Services, Barclays

**Stephen O’Flaherty**

- + Chairman of the Board, Davide Leone and Partners
- + Former Managing Director, Co-Head, Business Intelligence Group, Goldman Sachs
- + Former Senior Member, HM Diplomatic Service

**Lisa Prager**

- + General Counsel + Executive Vice President, Agricultural Bank of China
- + Former Deputy Assistant Secretary for Enforcement, U.S. Department of Commerce

**2:55 – 3:35**

**Sanctions-Related Risk Management: Towards an Innovative and Sustainable Enterprise Approach**

**Moderator:**

**Colleen Stack**

Managing Director, EMEA Regional Head of Financial Crimes,  
MUFG Bank

**Stephen Alsace**

Global Head of Economic Sanctions, Royal Bank of Canada

**Graham Bailey**

Chief Operating Officer, Quantifind

**Andrew Jensen**

Managing Director, Global Head of Sanctions and Screening,  
Scotiabank

**Chagri Poyraz**

Global Head of Sanctions, Binance

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**3:35 – 3:55**

**Networking Break**



3:55 - 4:35

**Trade Controls: Addressing New Expectations on Sensitive and Emerging Technologies**

**Moderator:**

**Kit Conklin**

Vice President of Global Client Engagement, Kharon

**Hank Corscadden**

Trade Counsel, Microsoft

**Elizabeth Fitzpatrick**

Vice President + General Counsel, Global Trade Sanctions, Honeywell

**Keith Huffman**

Chief Legal Counsel, Export Control, SAP

**Shainila Pradhan**

Director, Export Control Joint Unit, U.K. Department for International Trade

4:40 – 5:20

**Financial Crimes Risk Management: Examining Lessons Learned From 2022 + Exploring the 2023 Horizon**

**Moderator:**

**Christopher Po-Ba**

Senior Manager, Financial Crime (Sanctions), Lloyd's

**Jonathan Froome**

Global Head of Financial Crime, Marsh McLennan

**Jean Voigt**

Managing Director, Head of Global NLS & Transaction Filtering, Deutsche Bank

**Nick Lewis OBE**

Managing Director, Global Head of Integrated Intelligence and Investigations, Standard Chartered Bank

**Jocelyn Tait Norval**

Global Transaction Monitoring Lead, ING

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5:25 – 5:30

**Closing Remarks**

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5:30 – 7:00

**Drinks + Bites Reception**